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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/878,245	06/12/2001	Katrina L. Dewar	2951.03US02	3786

7590

09/27/2005

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EXAMINER
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DAVIS, GEORGE B

ART UNIT	PAPER NUMBER
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2129

DATE MAILED: 09/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/878,245

Applicant(s)

DEWAR, KATRINA L.

Examiner

George Davis

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 05 May 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 15-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 15-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claims Numbering*

1. The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not).

**Misnumbered claims 143-145 have been renumbered 15-17** because the preliminary amendments filed May 5, 2005 cancelled claims 1-14 and added new and amended claims 143-145.

### *Claim Objections*

2. Claims 15 is objected to because of the following informalities:

Claim 15, line 11, after "requirements;" insert - - and - -.

Claim 15, line 16, delete "and"

Claim 16, line 12, after "requirements;" insert - - and - -.

Claim 16, line 16, delete "and"

Claim 17, line 11, after "requirements;" insert - - and - -.

Claim 17, line 15, delete "and"

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 15-17 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 15 recites the limitation "the suitably" in line 1.

Claims 16 and 17 recite the limitation "the suitability" in line 1.

There is insufficient antecedent basis for these limitations in the claims.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this

Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

**First**

Claims 15-17 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Ostby et al, U.S. Pat. No. 5,326,270.

Ostby discloses evaluating the task-processing style of an individual by presenting the individual with questions and answers, whether employees meet certain requirements, job performance rating, comparing applicants score to the

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one compiled in the memory, predict performances and turnovers, assessment of applicant once they logged on, reviewing applicant performance in a rank order and predict the probability of applicants who has most successful performance.

As per claim 15, Ostby discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 3, lines 42-52 and column 4, lines 10-18), a plurality of terminals connected to the Internet and accessible by the applicants (column 7, lines 5-11), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 7, lines 5-11 and column 6, lines 30-39), a website identified by a uniform resource locator indicated in an employer job advertisement, the website configured to present application questions to the applicants at the terminals and to receive applicant responses entered at the terminals in response to presentation of the application questions (column 6, lines 11-13, abstract, lines 4-8 and column 7, lines 5-11), requirements questions eliciting information on whether the applicants meet employment requirements (abstract, last five lines), a set of validated questions validated by correlating job performance ratings of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired, the set of validated questions being a short subset of a large assessment, the short subset being selected to serve as a fast job related pre screen (abstract, lines 12-20), a scoring system for automatically scoring the applicant responses in real time, the scoring system comparing applicant responses for requirements questions to employer requirements and being

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validated to predict both performance and turnover potential (abstract, lines 12-20 and column 1, lines 58-67 and column 2, lines 1-4), a scoring database connected to the applicant screening server (figure 2), an applicant input system located on the employer's premises and configured to administer an in depth assessment to an applicant at the employer's premises after the applicant has come to the employer's premises and logged on (column 1, lines 58-67 and column 2, lines 1-4 and figure 2) and a viewing system for permitting the employer to view applicant results from the electronic prediction system and the applicant's rank order, the applicant results providing information on applicants who have a high probability of performing successfully find not terminating early (column 4, lines 26-68).

As per claim 16, Ostby discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 3, lines 42-52 and column 4, lines 10-18), a plurality of terminals connected to the Internet and accessible by the applicants (column 7, lines 5-11), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 7, lines 5-11 and column 6, lines 30-39), an employer job advertisement identifying a uniform resource locator (column 6, lines 11-13, abstract, lines 4-8 and column 7, lines 5-11), resource identified by the uniform resource locator, the resource configured to present application questions to the applicants at the terminals and to receive applicant response entered at the terminals in response to presentation of the application questions (column 6, lines 11-13, abstract, lines 4-8 and column 7,

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lines 5-11), requirements questions eliciting information on whether the applicants meet employment requirements (abstract, last five lines), validated questions validated by correlating job performance of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired (abstract, lines 12-20), a scoring system for automatically scoring the applicant responses in real time, the scoring system being validated to predict both performance and turnover potential (abstract, lines 12-20 and column 1, lines 58-67 and column 2, lines 1-4), a scoring database connected to the applicant screening server (figure 2), and a viewing system for permitting the employer to view applicant results from the electronic prediction system and the applicant's rank order, the applicant results providing information on applicants who have a high probability of performing successfully and not terminating early (column 4, lines 26-68).

As per claim 17, Ostby discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 3, lines 42-52 and column 4, lines 10-18), a plurality of terminals connected to the Internet and accessible by the applicants (column 7, lines 5-11), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 7, lines 5-11 and column 6, lines 30-39), a resource identified by a telephone number in an employer job advertisement, the resource configured to present application questions to the applicants at the terminals and to receive applicant responses entered at the terminals in response to presentation of the application questions

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(column 6, lines 11-13, abstract, lines 4-8 and column 7, lines 5-11), requirements questions eliciting information on whether the applicants meet employment requirements (abstract, last five lines), validated questions validated by correlating job performance of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired (abstract, lines 12-20), a scoring system for automatically scoring the applicant response in real time, the scoring system being validated to predict both performance and turnover potential (abstract, lines 12-20 and column 1, lines 58-67 and column 2, lines 1-4), a scoring database connected to the applicant screening server (figure 2) and a viewing system for permitting the employer to view applicants results from the electronic prediction system and the applicant's rank order, the applicant results Providing information on applicants who have a high probability of performing successfully end not terminating early (column 4, lines 26-68).

**Second**

Claims 15-17 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Bro, U.S. Pat. No. 5,722,418.

Bro discloses a communication between social behavioral of clients including employees or applicants and a server such as questions and answers, whether employees meet certain requirements, job performance rating, comparing applicants score to the one compiled in the memory, predict performances and turnovers, assessment of applicant once they logged on,



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reviewing applicant performance in a rank order and predict the probability of applicants who has most successful performance.

As per claim 15, Bro discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 19, lines 35-43), a plurality of terminals connected to the Internet and accessible by the applicants (column 18, lines 8-12), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 18, lines 8-12 and column 8, lines 22-27, 34 and 35), a website identified by a uniform resource locator indicated in an employer job advertisement, the website configured to present application questions to the applicants at the terminals and to receive applicant responses entered at the terminals in response to presentation of the application questions (abstract and column 15, lines 14-30), requirements questions eliciting information on whether the applicants meet employment requirements (abstract and column 15, lines 14-30), a set of validated questions validated by correlating job performance ratings of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired, the set of validated questions being a short subset of a large assessment, the short subset being selected to serve as a fast job related pre screen (abstract, column 17, lines 15-25 and column 15, lines 14-30), a scoring system for automatically scoring the applicant responses in real time, the scoring system comparing applicant responses for requirements questions to employer requirements and being validated to predict both performance and turnover

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potential (column 59, lines 43-67 and column 60, lines 3-6), a scoring database connected to the applicant screening server (figure 1, devices 12 and 16), an applicant input system located on the employer's premises and configured to administer an in depth assessment to an applicant at the employer's premises after the applicant has come to the employer's premises and logged on (figure 1) and a viewing system for permitting the employer to view applicant results from the electronic prediction system and the applicant's rank order, the applicant results providing information on applicants who have a high probability of performing successfully find not terminating early (column 19, lines 35-43, column 59, lines 43-67 and column 60, lines 3-6).

As per claim 16, Bro discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 19, lines 35-43), a plurality of terminals connected to the Internet and accessible by the applicants (column 18, lines 8-12), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 18, lines 8-12 and column 8, lines 22-27, 34 and 35), an employer job advertisement identifying a uniform resource locator (abstract and column 15, lines 14-30), resource identified by the uniform resource locator, the resource configured to present application questions to the applicants at the terminals and to receive applicant response entered at the terminals in response to presentation of the application questions (abstract and column 15, lines 14-30), requirements questions eliciting information on whether the applicants meet employment requirements (abstract

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and column 15, lines 14-30), validated questions validated by correlating job performance of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired (abstract, column 17, lines 15-25 and column 15, lines 14-30), a scoring system for automatically scoring the applicant responses in real time, the scoring system being validated to predict both performance and turnover potential (column 59, lines 43-67 and column 60, lines 3-6), a scoring database connected to the applicant screening server (figure 1, devices 12 and 16), and a viewing system for permitting the employer to view applicant results from the electronic prediction system and the applicant's rank order, the applicant results providing information on applicants who have a high probability of performing successfully and not terminating early (column 19, lines 35-43, column 59, lines 43-67 and column 60, lines 3-6).

As per claim 17, Bro discloses an electronic prediction system for assessing the suitability of job applicants for an employer (column 19, lines 35-43), a plurality of terminals connected to the Internet and accessible by the applicants (column 18, lines 8-12), an applicant screening server connected through the Internet to the terminals, the applicant screening server having a testing computer program and storing test data (column 18, lines 8-12 and column 8, lines 22-27, 34 and 35), a resource identified by a telephone number in an employer job advertisement, the resource configured to present application questions to the applicants at the terminals and to receive applicant responses entered at the terminals in response to presentation of the application questions

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(abstract and column 15, lines 14-30), requirements questions eliciting information on whether the applicants meet employment requirements (abstract and column 15, lines 14-30), validated questions validated by correlating job performance of a plurality of hired workers with previous responses given by the workers to the application questions before the workers were hired (abstract, column 17, lines 15-25 and column 15, lines 14-30), a scoring system for automatically scoring the applicant response in real time, the scoring system being validated to predict both performance and turnover potential (column 59, lines 43-67 and column 60, lines 3-6), a scoring database connected to the applicant screening server (figure 1, devices 12 and 16) and a viewing system for permitting the employer to view applicants results from the electronic prediction system and the applicant's rank order, the applicant results Providing information on applicants who have a high probability of performing successfully end not terminating early (column 19, lines 35-43, column 59, lines 43-67 and column 60, lines 3-6).

### ***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to George Davis whose telephone number is (571) 272-3683. The examiner can normally be reached on Monday through Friday from 10:00 am to 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight, can be reached on (571) 272-3687. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-3800.

September 25, 2005

A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke at the end.

**GEORGE B. DAVIS**  
**PRIMARY PATENT EXAMINER**